



United States Department of Agriculture Animal and Plant Health Inspection Service 4700 River Road Riverdale, MD 20737

Permit to Move Live Plant Pests, Noxious Weeds, and Soil

Importation

Regulated by 7 CFR 330

This permit was generated electronically via the ePermits system

PERMITTEE NAME: Dr. Kent Daane PERMIT NUMBER: P526P-18-01916

ORGANIZATION: University of California APPLICATION NUMBER: P526-180315-022

ADDRESS: 137 Mulford Hall FACILITY 1

Department ESPM
Berkeley, CA 94720-3114

MAILING ADDRESS: 137 Mulford Hall HAND CARRY: Yes

Department ESPM Berkeley, CA 94720-3114

DATE ISSUED:

PHONE: 559-284-5931 FAX: 559-646-6593 EXPIRES: 05/14/2021

DESTINATION: University of California Insectary & Quarantine, 1801

Walnut Street, Berkeley, CA 94709
CA, El Segundo; CA, San Francisco; FL, Miami

PORTS: CA, El Segundo; CA, San Francisco; FL, Miami (Cargo, DHL, Fed Ex, UPS, etc.); NJ, Linden; NY, Jamaica; WA, SeaTac

Under the conditions specified, this permit authorizes the following: **Shipment Origins Originally** Regulated <u>Life</u> <u>Intended</u> Culture Designation **Article** Collected Stage(s) <u>Use</u> Originally Psyllaephagus Adult, Egg, Research -Argentina, Australia, Chile, China, Egypt, foreign France, Greece, Iran, Islamic Republic of, Collected from parvus Larvae, Lab collections Iraq, Italy, Morocco, New Zealand, Portugal, Nymph Foreign Locations South Africa, Spain, Turkey Research -Argentina, Australia, Chile, China, Egypt, Psyllaephagus Adult, Egg, Originally foreign spp. Larvae, France, Greece, Iran, Islamic Republic of, Collected from collections Iraq, Italy, Morocco, New Zealand, Portugal, Nymph Foreign Locations South Africa, Spain, Turkey Unidentified Adult, Egg, Research -Argentina, Australia, Chile, China, Egypt, Originally foreign France, Greece, Iran, Islamic Republic of, Collected from collections Hymenoptera Larvae, Nymph Iraq, Italy, Morocco, New Zealand, Portugal, Foreign Locations

SPECIAL INSTRUCTIONS TO INSPECTORS

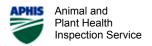
South Africa, Spain, Turkey

See permit conditions below

Permit Number P526P-18-01916

05/14/2018

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This permit authorizes Kent Daane, University of California, 137 Mulford Hall, Department ESPM, Berkeley, CA 94720-3114 and Charlie Pickett, CDFA, Integrated Pest Control Branch, Biological Control Program, 3288 Meadowview Rd., Sacramento, CA 95832, to hand-carry or move the organisms via passenger baggage into the United States in accordance with the hand-carry conditions below.

DHS CBP IBSPECTORS - HAND CARRY INSTRUCTIONS

- 1) For all hand carry events, the port of entry must have received hand carry requests at least 20 days in advance of the arrival of passenger(s), including the date and time of arrival and method of transport (maritime, land border, air) directly from CBP-OFO-APTL, originally provided by USDA-APHIS. The port of entry for hand carry does not need to appear as a designated port on the permit; this official notice containing the information listed above authorizes the port listed on the notice as a destination port for this hand carry event.
- 2) For all hand carry events, a valid PPQ permit number or the PPQ permit must be provided in order to identify the designated hand carrier.
- 3) If the two conditions above are met and the CBP Agriculture Specialist confirms that all hand carried materials/organisms are securely packaged as per the permit conditions, the permitted regulated material/organisms may be released for immediate transport by the designated hand carrier to the destination address listed on the permit.
- 4) If the permitted regulated materials/organisms arrive by hand carrying, in personal luggage, or by personal automobile without a hand carry authorization as specified in the permit, CBP may seize and destroy the package or send it to the nearest PPQ Plant Inspection Station for further processing. The permit holder is responsible for all costs incidental to such actions. If forwarding the shipment is not possible, contact the Pest Permit Staff at the number below.

DHS CBP INSPECTORS - SHIPMENT BY BONDED CARRIER

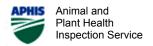
- 1) Confirm that the carrier of the shipment imported under this USDA PPQ 526 permit is commercially bonded.
- 2) Confirm that the imported shipment has a valid USDA PPQ Form 599 Red/White label attached to the exterior for routing to a USDA APHIS PPQ Inspection Station or other "Designated Port" as stated on the Permit. A valid label will have the permit number, expiration date, label number, and address of a USDA APHIS PPQ Plant Inspection Station/Designated Port. PLEASE NOTE: In the event of a shipment of bulk container with discrete units, a single PPQ Form 599 Red/White label may be used.
- 3) Validate the permit in ePermits using the CBP search feature.
- 4) If a valid PPQ Form 599 Red/White label is not attached to the exterior of the package or the label has been covered or is otherwise not legible, then forward to the nearest USDA APHIS PPQ Plant Inspection Station.
- 5) If the address on the airway bill does not match the address on the PPQ Form 599 Red/White label then forward the package to the nearest USDA APHIS PPQ Plant Inspection Station/designated port shown on the PPQ Form 599 label. All costs associated with rerouting misaddressed packages will be assumed by the permit holder.

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APHIS PPQ INSPECTORS at PIS -High-Risk Invertebrates

Follow the instructions in the Plant Inspection Station Manual for High-Risk Invertebrates Red and White Labeled Packages (must be opened in a sleeved cage; see procedures for handling on page 3-7-39). For questions or concerns, contact the USDA APHIS PPO Pest Permit Branch in Riverdale, MD, at 301-851-2046, toll free 866-524-5421.

PERMIT GUIDANCE

- 1) Receipt or use of foreign isolates or samples from countries under sanctions requires specific permission from the U.S. Department of Treasury; please refer to https://www.treasury.gov/resource-center/sanctions/Programs/Pages/Programs.aspx
- 2) This permit does not authorize importation, interstate movement, possession, and/or use of strains of genetically engineered regulated materials/organisms (created by the use of recombinant DNA technology).
- 3) If an animal pathogen is identified in your shipment, to ensure appropriate safeguarding, please refer to http://www.aphis.usda.gov/import export/animals/animal import/animal imports anproducts.sh
- 4) If a human pathogen is identified, please refer to the CDC Etiologic Agent Import Permit Program at http://www.cdc.gov/od/eaipp/
- 5) This permit does not fulfill the requirements of other federal or state regulatory authorities. Please contact the appropriate agencies, such as the U.S. Environmental Protection Agency, the U.S. Fish and Wildlife Service, the U.S. Food and Drug Administration, the Centers for Disease Control and Prevention, the APHIS Veterinary Services unit, the APHIS Biotechnology Regulatory Services, or your State's Department of Agriculture to ensure proper permitting.
- 6) If you are considering renewal of this permit, an application should be submitted at least 90 days prior to the expiration date of this permit to ensure continued coverage. Permits requiring containment facilities may take a longer period of time to process.

PERMIT CONDITIONS

USDA-APHIS issues this permit to Dr Kent Daane, University of California, at University of California Insectary & Quarantine, 1801 Walnut Street, Berkeley, CA 94709. This permit authorizes the importation of the listed regulated materials/organisms from the listed countries/states and/or territories.

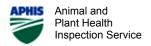
This permit authorizes the importation of any life stages of various species of Psyllaephagus parvus and other Psyllaephagus spp., and Unidentified Hymenoptera, parasites of Psyllidae collected in/from the listed countries in Africa, Asia, Australia, Europe and South America, to the permit holder Dr Kent Daane, University of California, at University of California Insectary & Quarantine, 1801 Walnut Street, Berkeley, CA 94709, to be received into the USDA APHIS inspected containment facility at that address (CF#1).

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The imported material may contain dead and dying (apparently parasitized) stages of Psyllidae, and non-propagative host plant parts.

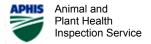
This permit further authorizes, subject to approval by Customs and Border Protection Inspectors, the "hand carry" transport of permitted articles by the permit holder, Dr Kent Daane, University of California, 137 Mulford Hall, Department ESPM, Berkeley, CA 94720 and Charlie Pickett, CDFA, Integrated Pest Control Branch, Biological Control Program, 3288 Meadowview Rd., Sacramento, CA 95832.

This permit authorizes the possession and rearing of Psyllaephagus parvus and other Psyllaephagus spp., and Unidentified Hymenoptera imported under this permit, as well as receipt and possession of P. euphyllurae from CF #93, for research in the USDA APHIS inspected containment facility (Facility #1) at University of California Insectary & Quarantine, 1801 Walnut Street, Berkeley, CA 94709.

- 1. This permit is issued by the United States Department of Agriculture's Animal and Plant Health Inspection Service (APHIS). It conveys APHIS regulations and requirements for the material(s) listed on this permit. It does not reduce or eliminate your legal duty and responsibility to comply with all other applicable Federal and State regulatory requirements.
 - The permit number or a copy of the permit must accompany the shipment.
 - You must be an individual at least 18 years old, or legal entity such as partnership, corporation, association, or joint venture.
 - You are legally responsible for complying with all permit requirements and permit conditions.
 - The regulated material and shipping container(s) are subject to inspection by officials of Custom and Border Protection (CBP) and APHIS. CBP or APHIS officials may require the shipment to be treated, seized, re-exported, or destroyed (in part or whole). You will be responsible for expenses.
 - If you violate any applicable laws associated with this permit, you may face substantial civil or criminal penalties. We may cancel all current permits and deny future permit applications.
 - Without prior notice and during reasonable hours, authorized Federal and State Regulators must be allowed to inspect the conditions associated with the regulated materials/organisms authorized under this permit.

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2. The permit holder must:

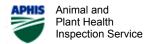
- maintain a valid PPQ526 permit so long as the regulated materials/organisms are alive or viable,
- not assign or transfer this permit to other persons without APHIS PPQ authorization,
- maintain an official permanent work assignment, residence, or affiliation at the address on this permit,
- notify the Pest Permit Staff as soon as possible of any change in the permit holder's work assignment, residence, or affiliation,
- notify the Pest Permit Staff of the receipt of unauthorized and/or misdirected shipments of regulated materials/organisms,
- adequately mitigate environmental impacts resulting from unauthorized release of regulated materials/organisms and notify the Pest Permit staff immediately if one occurs,
- notify the Pest Permit Staff if the facility is damaged/destroyed or if you wish to decommission the facility,
- destroy all regulated materials/organisms prior to departure from the organization unless other arrangements are confirmed by the Pest Permit Staff.
- Notifications to the Pest Permit Staff must be made via 866-524-5421 or pest.permits@aphis.usda.gov within one business day of the event triggering a notification.
- 3. All packages for transport must minimally consist of both inner/primary and outer/secondary packages securely sealed so that both are effective barriers to escape or unauthorized dissemination of the listed materials/organisms. The inner/primary package(s) will contain all regulated materials/organisms and must be cushioned and sealed in such a way that it remains sealed during shock, impact, and pressure changes that may occur. The outer/secondary shipping container must be rigid and strong enough to withstand typical shipping conditions (dropping, stacking, impact from other freight, etc.) without opening.

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4. After PPQ issues this 526 permit, you will need to request Red/White labels (PPQ Form 599) at least 5 days in advance of your shipping date. If you applied for your permit online using ePermits, you may request the labels using the My Shipments/Labels feature. Otherwise, send your request to Redandwhitelabelrequest@aphis.usda.gov. All email requests must come from the permit holder or designee. If requested by the designee, the permit holder must be copied on all requests. Specify the approved port as listed on the permit and the total number of labels needed. You may request additional labels the same way.

Packages without labels on the exterior may be refused entry.

Review label instructions at:

https://www.aphis.usda.gov/aphis/ourfocus/planthealth/import-information/permits/regulated -organism-and-soil-permits/ct_organisms-shipping-requirements.

You are responsible for instructing your shipper to carefully follow these instructions. You are responsible for each import shipping label issued under this permit.

5. HAND CARRY PART 1 OF 2

The following conditions apply to all authorized hand carry events. Note that no PPQ-issued labels are required for hand carry events.

a) At least 20 days prior to each hand carry event, the permit holder or designee must notify the PPQ Permit Compliance Officer by email. In the subject line of the email, write "Notification of Hand Carry - Permit # xxxx":

For pests/pathogens, federal noxious weeds/parasitic plants, and host plant materials, please use: redandwhitelabelrequest@aphis.usda.gov

For restricted or prohibited plant materials, please use:

greenandyellowplantslabelrequest@aphis.usda.gov

For soil, please use:

blackwhitegreenyellow.labelrequest@aphis.usda.gov

The email must contain the following information:

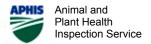
- hand carrier's name and permit number
- anticipated first port of entry into the United States
- date and time of arrival and method of transport (maritime, land border, air)

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- description of the regulated material/organism and packaging used for transport
- travel plans from the first port of arrival to the final destination

The PPQ Permit Compliance Officer will notify the Customs and Border Protection (CBP) Agricultural Specialist at the port of entry to document and facilitate the entry of the regulated material/organism.

If you have domestic (connecting) air travel plans, please contact the Transportation Security Administration (TSA) prior to arrival into the U.S.: TSA Contact Center Telephone: 1-866-289-9673; Email: TSA-ContactCenter@tsa.dhs.gov. Passengers can request special assistance with the screening process via the TSA Contact Center. Although special handling may be requested when traveling with fragile or sensitive items, TSA must conduct sufficient screening to ensure all property does not present a security threat. For more information visit www. TSA.gov.

Only the person(s) whose name(s) is/are listed on the issued permit is/are authorized to hand-carry. b) The hand carrier must ensure the following:

- All materials/organisms must be packaged as described in the permit conditions.
- Packaging material must be free of insects, noxious weeds, and other plants pests and must be treated as described in the permit conditions before discarded or reused.
- Hand-carried regulated materials/organisms must fit in the plane's overhead bin or under the seat. Hand-carried materials/organisms are not to be checked as baggage/luggage, and must remain on or in close proximity to one's person.

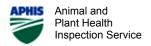
6. HAND CARRY PART 2 OF 2

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- c) The hand carrier must declare the regulated material/organism at the port of entry. The hand carrier must present acceptable personal identification documents to CBP upon request. The hand carrier must also present a copy and/or permit number of the valid PPQ Permit that authorizes the hand carry.
- d) In the event that a problem is detected, CBP may seize and destroy the package or send it to the

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nearest PPQ Plant Inspection Station for further processing. The permit holder is responsible for all costs incidental to such actions.

- e) The authorization to hand carry is only for the regulated material/organism identified in the permit. The presence of unauthorized regulated materials/organisms is a permit violation.
- f) After CBP confirmation and clearance through the first port of entry into the U.S., the hand carrier will not open or modify the package (including removal of anything applied to the package by CBP or another Federal Agency) until it arrives at the authorized facility. The hand carried regulated material/organism must be transported directly to the containment facility authorized in the permit. In the event that circumstances beyond the carrier's control cause a significant deviation in transport, the carrier must contact the PPQ Permit Compliance Officer at 866-524-5421 (pests/pathogens, federal noxious weeds/parasitic plants, soil, and host plant materials) or 877-770-5990 (for restricted or prohibited plant material).
- g) Within 24 hours of the first business day of the regulated material/organisms' arrival at the facility, an independent third party (e.g. containment facility director, departmental chair, campus biosafety officer, etc.) must notify the PPO Permit Compliance Officer via email that the shipment remained sealed until arrival. The notification must include what regulated material/organism was imported, its origin, permit number, date of arrival, and quantity. In the subject line of the email, please write: "Notification of Hand Carry Arrival - Permit # xxxx". See 29(a) above for the list of e-mail addresses to use for the notification.

Failure to notify the PPQ Permit Compliance Officer may result in loss of hand carry privileges. A PPQ inspector may visit the facility to confirm the arrival of the package and its contents.

7. This permit authorizes the possession of live organisms of only species of Psyllaephagus parvus and other Psyllaephagus spp., and Unidentified Hymenoptera, parasites of Psyllidae received as authorized for import under this permit from the listed countries.

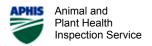
Also this permit authorizes receipt from the Arthropod Biological Control Containment Facility, Insectary and Quarantine Facility at University of California, Riverside (CF 93) insects in the genus Psyllaephagus received and possessed under Permit P526P-13-1489.

Not authorized under this permit are live cultures of other taxonomic groups from other hosts, or are from other origination source except as described in the paragraph above

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- 8. The regulated organisms authorized for import under this permit are to be maintained only in the laboratory area approved for containment at the address indicated under the "Authorizations" above on this permit (CF #1). Distribution or other removal from the designated area of Containment Facility 1 requires a separate prior authorization from APHIS PPQ.
 - This permit does not authorize field release, interstate transport, field research, greenhouse work, or any other activities with the regulated organisms authorized for import under this permit outside of the containment facility.
- 9. Upon receipt of imported package(s) and removal of organisms regulated under this permit (along with any necessary host plant parts), all other foreign biological material and substrate, including soil, and foreign plant material, if any, as well as the primary shipping containers and any packing material media in the primary container, shall be properly disposed of or destroyed immediately. Procedure for proper disposal or destruction: all material must be double bagged and sterilized by autoclave, or such procedures as established in the SOP's filed during inspection of this facility.

Only authorized/permitted organisms may be retained as live organisms, plus any plant parts as needed for continued rearing and culture of the regulated organisms until transfer to lab-sourced material. Upon completion of isolations/transferes from imported material (i.e., soil, hosts) these imported materials shall likewise be properly disposed of or destroyed immediately, as described above.

Only secondary containers and packing materials suitable for re-use (such as coolers and icepacks) may be reused, and only after sterilization by autoclave, or with bleach or alcohol, etc., as per protocols established in the SOP's for this facility.

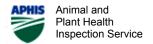
10. Standard Operating Procedures (SOPs) must be filed with, and approved by, the APHIS PPQ Pest Permit Staff at: email: pest.permits@aphis.usda.gov; phone: 866-524-5421; fax: 301-734-8700; address: 4700 River Road, Unit 133, Riverdale, MD 20737. All contact information must be kept current and the SOPs must be dated. If requirements in the permit conditions are more restrictive than the SOPs, permit conditions take precedence. APHIS PPQ must approve any changes to the SOPs before implementation.

A list of all persons with access to the containment facility must be maintained and available upon request by Federal or State Regulatory Officials.

11. All operations must be consistent with information submitted in association with this Containment Facility (CF1) including the most recent Standard Operating Procedures (SOP's) submitted for the Facility, and any information submitted in association with the inspection of this Containment Facility. This includes, minimally, maintenance of restricted access to unauthorized persons of building and or approved containment areas (key, key card or code), and/or restricted access to unauthorized persons of growth chambers and other equipment (for example by lock) where organisms will be kept, as well as proper/prescribed maintenace of the Autoclave and/or other

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equiment used to devitlize or sterilize waste.

The permit holder must insure that all persons working with these regulated organisms a) are trained in the importance of approved containment practices;

- b) follow the Standard Operating Procedures (SOP) established for the facility and filed with the USDA APHIS Pest Permit Evaluation Unit at the time of facility inspection; and
- c) are informed of these permit conditions and understand the requirement to adhere to these conditions and the SOP.

12. DEVITALIZATION AND WASTE DISPOSAL

All regulated materials/organisms and all items coming in direct contact or exposed to the regulated materials/organisms must be sterilized/sanitized/decontaminated prior to removal from the authorized containment facility. This includes all items from shipping, culturing, care, and maintenance of these regulated materials/organisms. This requirement includes but is not necessarily limited to: packaging directly exposed to the regulated materials/organisms, substrates (culture media, soil, plant materials (food materials or host plants)), leftover/unused/unneeded live cultures, and dead specimens/cultures unless specified otherwise in the permit.

All waste materials must be treated by one of the follow methods prior to disposal:

Use any of the following, either alone or in combination: 1) autoclaved (see protocol below), 2) disposed of as medical /biohazard waste with bonded contracted company or, 3) incinerated. If these three options are not available waste may be treated using one of the following: 4) frozen at minus 29 Celsius (minus 20 Fahrenheit) for 72 hours (Note: this is colder than a conventional refrigerator/freezer), 5) immersed in 5 percent Sodium Hypochlorite (household bleach from the bottle is 5 percent) for at least 20 minutes, or 6) immersed in 70 percent alcohol for at least 30 minutes

Treated waste will be double bagged prior to disposal.

Other sterilization methods are only allowed with prior written agreement from the USDA/APHIS PPO Pest Permit Staff.

Any equipment, supplies, tools, secondary shipping containers, packing materials (e.g., ice packs), etc. must be sterilized/sanitized/decontaminated prior to reuse, disposal, or removal from containment.

If using an autoclave the following protocol must be used:

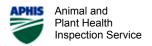
- a. Waste must be autoclaved at 121 Celsius (250 Fahrenheit) for a minimum of 30 minutes at 15 psi.
- b. Autoclave tape or other indicators must be placed on each load prior to treatment. The autoclave tape or other indicator on each container must be checked to verify color change before disposal.
- c. The autoclave must be calibrated according to the manufacturer's instructions annually and a written record must be maintained.
- d. Every 3 months, you must use a commercially available biological indicator kit that uses bacterial spores of Geobacillus stearothermophilus that are rendered unviable at 121 Celsius (250 Fahrenheit). You must follow the manufacturer's instructions. If any growth is observed, you must

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have the autoclave serviced and retested.

END OF PERMIT CONDITIONS

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